

STABILITY OF VERTICAL MINIMAL SURFACES  
IN THREE-DIMENSIONAL SUB-RIEMANNIAN MANIFOLDS

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A *sub-Riemannian manifold* is a smooth manifold  $M$  together with a completely non-integrable smooth distribution  $\mathcal{H}$  on  $M$  (it is called a *horizontal distribution*) and a smooth field of Euclidean scalar products  $\langle \cdot, \cdot \rangle_{\mathcal{H}}$  on  $\mathcal{H}$  (it is called a *sub-Riemannian metric*). In particular,  $\langle \cdot, \cdot \rangle_{\mathcal{H}}$  can be constructed as a restriction of some Riemannian metrics  $\langle \cdot, \cdot \rangle$  on  $M$  to  $\mathcal{H}$ . Here we will assume that all sub-Riemannian structures are of this form. Let  $\Sigma$  be a smooth oriented surface in a three-dimensional sub-Riemannian manifold  $M$ . If  $N_h$  is the orthogonal projection of the unit normal field  $N$  of  $\Sigma$  (in the Riemannian sense) onto  $\mathcal{H}$  and  $d\Sigma$  is the Riemannian area form of  $\Sigma$ , then the *sub-Riemannian area* of a domain  $D \subset \Sigma$  is defined as  $A(D) = \int_D |N_h| d\Sigma$ . The *normal variation* of the surface  $\Sigma$  defined by a smooth function  $u$  is the map  $\varphi: \Sigma \times I \rightarrow M: \varphi_s(p) = \exp_p(su(p)N(p))$ , where  $I$  is an open neighborhood of 0 in  $\mathbb{R}$  and  $\exp_p$  is the Riemannian exponential map in  $p$ . Denote  $A(s) = \int_{\Sigma_s} |N_h| d\Sigma_s$ , where  $\Sigma_s = \varphi_s(\Sigma)$ . Then  $A'(0)$  is called the *first (normal) area variation* defined by  $\varphi$ , and  $A''(0)$  is called the *second* one. A surface  $\Sigma$  is called *minimal* if  $A'(0) = 0$  for any normal variations with compact support in  $\Sigma \setminus \Sigma_0$ , where  $\Sigma_0 = \{p \in \Sigma \mid N_h(p) = 0\}$  is the *singular set* of  $\Sigma$ . A minimal surface  $\Sigma$  is called *stable* if  $A''(0) \geq 0$  for any normal variations with compact support in  $\Sigma \setminus \Sigma_0$ . We will call a surface  $\Sigma$  in a three-dimensional sub-Riemannian manifold *vertical* if  $T_p\Sigma \perp \mathcal{H}_p$  for each  $p \in \Sigma$ . In particular, for such surfaces  $N_h = N$  and  $\Sigma_0 = \emptyset$ .

**Proposition 1.** *Let  $\Sigma$  be an oriented vertical surface in a three-dimensional sub-Riemannian manifold  $M$ . Then its first normal area variation defined by a smooth function  $u$  with compact support equals  $A'(0) = -\int_{\Sigma} 2Hu d\Sigma$ , where  $H$  is the Riemannian mean curvature of  $\Sigma$ . Thus,  $\Sigma$  is minimal in the sub-Riemannian sense if and only if it is minimal in the Riemannian sense.*

**Proposition 2.** *Let  $\Sigma$  be an oriented vertical minimal surface in a three-dimensional sub-Riemannian manifold  $M$ . Then its second normal area variation defined by a smooth function  $u$  with compact support equals*

$$A''(0) = \int_{\Sigma} -(X(u) - \langle \nabla_N X, N \rangle u)^2 + |\nabla_{\Sigma} u|^2 - (\text{Ric}(N, N) + |B|^2) u^2 d\Sigma,$$

where  $\nabla$  and  $\text{Ric}$  are the Riemannian connection and the Ricci tensor of  $M$  respectively,  $X$  is the unit normal vector field of  $\mathcal{H}$  (which is tangent to  $\Sigma$  because it is vertical),  $\nabla_{\Sigma}$  and  $B$  are the Riemannian gradient and the second fundamental form of  $\Sigma$  respectively. It follows that if  $\Sigma$  is stable in the sub-Riemannian sense, it is also stable in the Riemannian sense.

Let us discuss some examples of such surfaces. In all these examples  $M$  is a Lie group,  $\mathcal{H}$  and  $\langle \cdot, \cdot \rangle$  are left-invariant. In [2] it was shown that a complete connected minimal surface with the empty singular set (in particular, vertical) in the sub-Riemannian three-dimensional Heisenberg group is stable if and only if it is a vertical Euclidean plane. In [3] the authors considered the standard three-dimensional sphere with the horizontal distribution defined by the Hopf field  $X$  and showed that

complete connected vertical minimal surfaces are Clifford tori. It is well-known that they are not stable in the Riemannian sense, hence also in the sub-Riemannian sense. In [1] we proved that in the solvable Lie group  $\widetilde{E}(2)$ , which is the universal covering of the proper motions group of the Euclidean plane, with the Euclidean metric and a left-invariant horizontal distribution all complete connected vertical minimal surfaces are Euclidean planes and standard helicoids. We showed that planes are stable in the sub-Riemannian sense, and it is known that helicoids are not stable in the Riemannian sense, hence also in the sub-Riemannian sense.

The three-dimensional Thurston geometry  $Sol$  is the space  $\mathbb{R}^3$  with coordinates  $(x, y, z)$  and with the following orthonormal basis of left-invariant vector fields defined by its solvable Lie group structure:

$$X_1 = \frac{1}{\sqrt{2}} \left( e^{-z} \frac{\partial}{\partial x} + e^z \frac{\partial}{\partial y} \right), \quad X_2 = \frac{1}{\sqrt{2}} \left( e^{-z} \frac{\partial}{\partial x} - e^z \frac{\partial}{\partial y} \right), \quad X_3 = \frac{\partial}{\partial z}.$$

Note that  $[X_2, X_3] = X_1$ , so the left-invariant distribution  $\mathcal{H}$  orthogonal to  $X_1$  is completely non-integrable. Let us consider a sub-Riemannian structure on  $Sol$  such that  $\mathcal{H}$  is horizontal. It then follows from the results of [4] that any complete connected vertical minimal surface in  $Sol$  after an isometry becomes either a Euclidean plane  $z = C$  or a "helicoid"

$$(s, t) \mapsto \left( \frac{1}{\sqrt{2}} e^{-t} s + C_1, \frac{1}{\sqrt{2}} e^t s + C_2, t \right).$$

Using this description, we are able to prove the following.

**Proposition 3.** *All vertical minimal surfaces in  $Sol$  are stable in the sub-Riemannian sense and thus in the Riemannian sense.*

The three-dimensional Thurston geometry  $\widetilde{SL}(2, \mathbb{R})$  can be described as the universal covering of the unit tangent bundle of the hyperbolic plane  $H^2$  with the Sasaki metric, that is, the half-space  $\{(x, y, z) \in \mathbb{R}^3 \mid y > 0\}$  with the following orthonormal basis of left-invariant vector fields with respect to its simple Lie group structure:

$$X_1 = y \left( -\sin z \frac{\partial}{\partial x} + \cos z \frac{\partial}{\partial y} \right) + \sin z \frac{\partial}{\partial z}, \quad X_2 = y \left( -\cos z \frac{\partial}{\partial x} - \sin z \frac{\partial}{\partial y} \right) + \cos z \frac{\partial}{\partial z}, \quad X_3 = \frac{\partial}{\partial z}.$$

In particular,  $[X_1, X_2] = -X_3$ , so the left-invariant distribution  $\mathcal{H}$  orthogonal to  $X_3$  is completely non-integrable. Consider a sub-Riemannian structure on this manifold such that  $\mathcal{H}$  is horizontal. We then obtain the following description.

**Theorem 4.** *Any complete connected vertical minimal surface in  $\widetilde{SL}(2, \mathbb{R})$  has either the parameterization  $(s, t) \mapsto (C, s, t)$  or  $(s, t) \mapsto \left( C_1 + \frac{1}{C_2} \sin C_2 s, -\frac{1}{C_2} \cos C_2 s, t \right)$  and so is a cylinder over a geodesic in  $H^2$ . All vertical minimal surfaces in  $\widetilde{SL}(2, \mathbb{R})$  are stable in the sub-Riemannian sense and thus in the Riemannian sense.*

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