

**Surfaces with flat normal connection  
in 4-dimensional space forms**

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The main contents of this talk are found in

- [4] N. Ando, The equations of Gauss, Codazzi and Ricci of surfaces in 4-dimensional space forms, preprint, arXiv:2505.13874.
- [5] N. Ando and R. Hatanaka, Surfaces with flat normal connection in 4-dimensional space forms, preprint, arXiv:2501.15780.

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# 1. The connection of the two-fold exterior power of the pull-back bundle

$(N, h)$ : a 4-dimensional Riemannian space form,

$M$ : a Riemann surface,

$F : M \longrightarrow N$ : a conformal immersion,

$(u, v)$ : local isothermal coordinates of  $M$ .

The induced metric  $g$  of  $M$  by  $F$  is represented as  $g = e^{2\lambda}(du^2 + dv^2)$ .

$$T_1 := dF\left(\frac{\partial}{\partial u}\right), \quad T_2 := dF\left(\frac{\partial}{\partial v}\right),$$

$N_1, N_2$ : normal vector fields of  $F$  satisfying

$$h(N_1, N_1) = h(N_2, N_2) = e^{2\lambda}, \quad h(N_1, N_2) = 0.$$

Suppose that  $N$  is oriented and that  $(T_1, T_2, N_1, N_2)$  gives the orientation.

We set  $e_1 := \frac{1}{e^\lambda} T_1$ ,  $e_2 := \frac{1}{e^\lambda} T_2$ ,  $e_3 := \frac{1}{e^\lambda} N_1$ ,  $e_4 := \frac{1}{e^\lambda} N_2$ , and

$$\Theta_{\pm,1} := \frac{1}{\sqrt{2}}(e_1 \wedge e_2 \pm e_3 \wedge e_4),$$

$$\Theta_{\pm,2} := \frac{1}{\sqrt{2}}(e_1 \wedge e_3 \pm e_4 \wedge e_2),$$

$$\Theta_{\pm,3} := \frac{1}{\sqrt{2}}(e_1 \wedge e_4 \pm e_2 \wedge e_3).$$

The two-fold exterior power  $\bigwedge^2 F^*TN$  of the pull-back bundle  $F^*TN$  on  $M$  by  $F$  is decomposed into two subbundles  $\bigwedge_{\pm}^2 F^*TN$ , and

$\Theta_{\pm,1}$ ,  $\Theta_{\pm,2}$ ,  $\Theta_{\pm,3}$  form local orthonormal frame fields of  $\bigwedge_{\pm}^2 F^*TN$  respectively.

$\nabla$ : the Levi-Civita connection of  $(N, h)$ ,

$\hat{\nabla}$ : the connection of  $\bigwedge^2 F^*TN$  induced by  $\nabla$ .

Then  $\hat{\nabla}$  gives connections of  $\bigwedge_{\pm}^2 F^*TN$  and we obtain

$$\begin{aligned} \hat{\nabla}_{T_1}(\Theta_{\pm,1} \ \Theta_{\pm,2} \ \Theta_{\pm,3}) &= (\Theta_{\pm,1} \ \Theta_{\pm,2} \ \Theta_{\pm,3}) \begin{bmatrix} 0 & -W_{\pm} & -Y_{\mp} \\ W_{\pm} & 0 & \pm\psi_{\pm} \\ Y_{\mp} & \mp\psi_{\pm} & 0 \end{bmatrix}, \\ \hat{\nabla}_{T_2}(\Theta_{\pm,1} \ \Theta_{\pm,2} \ \Theta_{\pm,3}) &= (\Theta_{\pm,1} \ \Theta_{\pm,2} \ \Theta_{\pm,3}) \begin{bmatrix} 0 & \mp Z_{\pm} & \pm X_{\mp} \\ \pm Z_{\pm} & 0 & \mp\phi_{\mp} \\ \mp X_{\mp} & \pm\phi_{\mp} & 0 \end{bmatrix}, \end{aligned}$$

where

- $W_{\pm}, X_{\pm}, Y_{\pm}, Z_{\pm}$  are functions given by

$$W_{\pm} = \alpha_2 \pm \beta_1, \quad X_{\pm} = \alpha_2 \pm \beta_3, \quad Y_{\pm} = \beta_2 \pm \alpha_1, \quad Z_{\pm} = \beta_2 \pm \alpha_3$$

and

$$\begin{aligned} \sigma(T_1, T_1) &= \alpha_1 N_1 + \beta_1 N_2, & \sigma(T_1, T_2) &= \alpha_2 N_1 + \beta_2 N_2, \\ \sigma(T_2, T_2) &= \alpha_3 N_1 + \beta_3 N_2 \end{aligned}$$

for the second fundamental form  $\sigma$  of  $F$ , and

- $\phi_{\pm}, \psi_{\pm}$  are functions given by  $\phi_{\pm} = \lambda_u \mp \mu_2$ ,  $\psi_{\pm} = \lambda_v \mp \mu_1$ , and  $\mu_1, \mu_2$  are functions given by

$$\nabla_{T_1}^{\perp} N_1 = \lambda_u N_1 + \mu_1 N_2, \quad \nabla_{T_2}^{\perp} N_1 = \lambda_v N_1 + \mu_2 N_2$$

for the normal connection  $\nabla^{\perp}$  of  $F$ .

## 2. The equations of Gauss, Codazzi and Ricci

$\hat{R}$ : the curvature tensor of  $\hat{\nabla}$ ,

$L_0$ : the constant sectional curvature of  $N$ .

Then we have

$$\hat{R}(T_1, T_2)(\Theta_{\pm,1} \ \Theta_{\pm,2} \ \Theta_{\pm,3}) = (\Theta_{\pm,1} \ \Theta_{\pm,2} \ \Theta_{\pm,3}) \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & \pm L_0 e^{2\lambda} \\ 0 & \mp L_0 e^{2\lambda} & 0 \end{bmatrix}.$$

We can express the left hand side by  $W_{\pm}$ ,  $X_{\pm}$ ,  $Y_{\pm}$ ,  $Z_{\pm}$  and so on, and we obtain

$$W_{\mp}X_{\pm} + Y_{\pm}Z_{\mp} = L_0 e^{2\lambda} + (\phi_{\pm})_u + (\psi_{\mp})_v \quad (\text{the equations of Gauss, Ricci}),$$

$$(Y_{\pm})_v \mp (X_{\pm})_u = \pm W_{\mp}\phi_{\pm} - Z_{\mp}\psi_{\mp}, \quad (\text{the equations of Codazzi})$$

$$(W_{\mp})_v \pm (Z_{\mp})_u = \mp Y_{\pm}\phi_{\pm} - X_{\pm}\psi_{\mp}$$

([4, 5]).



$K$ : the curvature of  $g$ :  $K = -e^{-2\lambda}(\lambda_{uu} + \lambda_{vv})$ .

We say that the normal connection  $\nabla^\perp$  of  $F$  is *flat* if the curvature tensor  $R^\perp$  of  $\nabla^\perp$  vanishes, which is equivalent to  $(\mu_1)_v = (\mu_2)_u$ .

The twistor lifts of  $F$  are sections of the twistor spaces associated with the pull-back bundle  $F^*TN$  and locally given by  $\Theta_{\pm,1}$ .

The twistor lifts  $\Theta_{\pm,1}$  of  $F$  are said to be *nondegenerate* (resp. *degenerate*) if for each  $\varepsilon \in \{+, -\}$ ,  $\hat{\nabla}_{T_1}\Theta_{\varepsilon,1}$  and  $\hat{\nabla}_{T_2}\Theta_{\varepsilon,1}$  are linearly independent (resp. dependent) at each point of  $M$ .

Then we observe that the following conditions are mutually equivalent ([4]):

- (a) the immersion  $F$  satisfies both  $K \equiv L_0$  and  $R^\perp \equiv 0$ ;
- (b) the twistor lifts  $\Theta_{\pm,1}$  of  $F$  are degenerate;
- (c)  $\Delta_\pm := W_\mp X_\pm + Y_\pm Z_\mp = 0$ .

## Remark

The twistor lifts  $\Theta_{\pm,1}$  of  $F$  are nondegenerate if and only if  $\Delta_{\pm} \neq 0$ .

If we suppose  $\Delta_{\pm} \neq 0$ , then the equations of Codazzi are rewritten into  $(\phi_{\pm}, \psi_{\mp}) = (A_{\pm}, B_{\mp})$ , where

$$\begin{bmatrix} A_{\pm} \\ B_{\mp} \end{bmatrix} := \mp \frac{1}{\Delta_{\pm}} \begin{bmatrix} -X_{\pm} & Z_{\mp} \\ \pm Y_{\pm} & \pm W_{\mp} \end{bmatrix} \begin{bmatrix} (Y_{\pm})_v \mp (X_{\pm})_u \\ (W_{\mp})_v \pm (Z_{\mp})_u \end{bmatrix}.$$

Using  $A_{\pm}$ ,  $B_{\mp}$ , we can obtain characterizations of surfaces such that the twistor lifts are nondegenerate ([4]).

### 3. Surfaces with degenerate twistor lifts

- If  $F$  has a parallel normal vector field, then the second fundamental form  $\sigma$  of  $F$  satisfies the *linearly dependent condition*, that is,  $F$  satisfies

$$\cos \theta(\alpha_1, \alpha_2, \alpha_3) + \sin \theta(\beta_1, \beta_2, \beta_3) = 0$$

for a function  $\theta$ .

- If  $\sigma$  satisfies the linearly dependent condition, then  $\nabla^\perp$  is flat.
- Suppose  $K \neq L_0$ . Then  $F$  has a parallel normal vector field if and only if  $\sigma$  satisfies the linearly dependent condition ([5]).
- If we suppose  $K \equiv L_0$ , then the linearly dependent condition of  $\sigma$  does not necessarily mean the existence of parallel normal vector fields ([5]).

Suppose that there exist nowhere zero functions  $k_{\pm}$  satisfying

$$(W_{\mp}, Z_{\mp}) = k_{\pm}(-Y_{\pm}, X_{\pm}). \quad (1)$$

Then  $\Delta_{\pm} = 0$  hold, that is,  $F$  satisfies both  $K \equiv L_0$  and  $R^{\perp} \equiv 0$ .

By (1) and the equations of Codazzi, there exist functions  $f_{\pm}$  satisfying

$$X_{\pm} = \pm \frac{(f_{\pm})_v}{\sqrt{1 + k_{\pm}^2}}, \quad Y_{\pm} = \frac{(f_{\pm})_u}{\sqrt{1 + k_{\pm}^2}}. \quad (2)$$

By the equation of Ricci, we obtain

$$(f_+)_{\bar{u}}^2 + (f_+)_{\bar{v}}^2 = (f_-)_{\bar{u}}^2 + (f_-)_{\bar{v}}^2 (=:\bar{B}).$$

Therefore, if  $\bar{B} \neq 0$ , then there exists a function  $\psi$  satisfying

$$\begin{bmatrix} (f_-)_{\bar{u}} \\ (f_-)_{\bar{v}} \end{bmatrix} = \begin{bmatrix} \cos \psi & -\sin \psi \\ \sin \psi & \cos \psi \end{bmatrix} \begin{bmatrix} (f_+)_{\bar{v}} \\ (f_+)_{\bar{u}} \end{bmatrix}. \quad (3)$$

Suppose that  $X_{\pm}, Y_{\pm}$  satisfy  $X_+^2 Y_-^2 - X_-^2 Y_+^2 \neq 0$ .

Then  $\sigma$  does not satisfy the linearly dependent condition ([5]).

By the definitions of  $W_{\pm}, X_{\pm}, Y_{\pm}, Z_{\pm}$ , we have

$$W_+ + W_- = X_+ + X_-, \quad Y_+ + Y_- = Z_+ + Z_-.$$

Applying (1) to these relations, we obtain

$$k_+ = \frac{X_-^2 + Y_-^2 + X_+ X_- + Y_+ Y_-}{X_+ Y_- - X_- Y_+},$$

$$k_- = -\frac{X_+^2 + Y_+^2 + X_+ X_- + Y_+ Y_-}{X_+ Y_- - X_- Y_+}.$$

Applying (2) to these relations, we obtain  $k_+ = \frac{Ck_- - A}{Ak_- + C}$ , where

$$A := (f_+)_v (f_-)_u + (f_-)_v (f_+)_u \ (\neq 0), \quad C := (f_+)_u (f_-)_u - (f_+)_v (f_-)_v.$$

We have  $A = B \cos \psi$ ,  $C = -B \sin \psi$ .

Applying these relations and  $k_+ = \frac{Ck_- - A}{Ak_- + C}$  to the equations of Codazzi, we obtain

$$\begin{aligned} \begin{bmatrix} \gamma_u \\ \gamma_v \end{bmatrix} &= - \begin{bmatrix} (\theta_-)_u \\ (\theta_-)_v \end{bmatrix} + \frac{\frac{\partial(f_+, \psi)}{\partial(u, v)}}{\frac{\partial(f_+, f_-)}{\partial(u, v)}} \begin{bmatrix} (f_-)_u \\ (f_-)_v \end{bmatrix} \\ &\quad - \frac{1}{A'} \begin{bmatrix} 2(f_+)_u(f_-)_u & A \\ A & 2(f_+)_v(f_-)_v \end{bmatrix} \begin{bmatrix} \lambda_u \\ \lambda_v \end{bmatrix} \end{aligned}$$

([5]), where

- $\gamma$  is a function satisfying  $\gamma_u = \mu_1$ ,  $\gamma_v = \mu_2$ ,
- $\theta_-$  is a function given by  $\tan \theta_- = k_-$ ,
- $A' := (f_+)_v(f_-)_u - (f_-)_v(f_+)_u = -\frac{\partial(f_+, f_-)}{\partial(u, v)} (\neq 0)$ .

In addition, if  $L_0 = 0$ , then we obtain

$$\begin{bmatrix} \gamma_u \\ \gamma_v \end{bmatrix} = - \begin{bmatrix} (\theta_-)_u \\ (\theta_-)_v \end{bmatrix} + \frac{\frac{\partial(f_+, \psi)}{\partial(u, v)}}{\frac{\partial(f_+, f_-)}{\partial(u, v)}} \begin{bmatrix} (f_-)_u \\ (f_-)_v \end{bmatrix}.$$

Therefore there exists a function  $\tilde{\xi}$  of one variable satisfying

$$\frac{\partial(f_+, \psi)}{\partial(u, v)} = \tilde{\xi}(f_-) \frac{\partial(f_+, f_-)}{\partial(u, v)}.$$

By this relation and (3), we obtain

$$\begin{bmatrix} \psi_u \\ \psi_v \end{bmatrix} = (\cos^2 \psi) \mathbf{a} + (\cos \psi \sin \psi) \mathbf{b} + (\sin^2 \psi) \mathbf{c}$$

([5]), where  $\mathbf{a}$ ,  $\mathbf{b}$ ,  $\mathbf{c}$  are  $\mathbf{R}^2$ -valued functions constructed by  $f_-$  and  $\tilde{\xi}(f_-)$ .

## 4. Space-like or time-like surfaces in neutral or Lorentzian 4-dimensional space forms

In the case where  $N$  is neutral, analogous discussions and results are valid for space-like or time-like surfaces.

In the case where  $N$  is Lorentzian, analogous discussions and results are also valid for space-like or time-like surfaces.

However, in this case, we need the decomposition of the complexification of the two-fold exterior power of the pull-back bundle on a space-like or time-like surface.



$(N, h)$ : a 4-dimensional Lorentzian space form,

$M$ : a Riemann surface,

$F : M \longrightarrow N$ : a space-like and conformal immersion,

$(u, v)$ : local isothermal coordinates of  $M$ .

The induced metric  $g$  of  $M$  by  $F$  is represented as  $g = e^{2\lambda}(du^2 + dv^2)$ .

$$T_1 := dF\left(\frac{\partial}{\partial u}\right), \quad T_2 := dF\left(\frac{\partial}{\partial v}\right),$$

$N_1, N_2$ : normal vector fields of  $F$  satisfying

$$h(N_1, N_1) = -h(N_2, N_2) = e^{2\lambda}, \quad h(N_1, N_2) = 0.$$

Suppose that  $N$  is oriented and that  $(T_1, T_2, N_1, N_2)$  gives the orientation.

We set  $e_1 := \frac{1}{e^\lambda} T_1$ ,  $e_2 := \frac{1}{e^\lambda} T_2$ ,  $e_3 := \frac{1}{e^\lambda} N_1$ ,  $e_4 := \frac{1}{e^\lambda} N_2$ , and

$$\Theta_1 := \frac{1}{\sqrt{2}}(e_1 \wedge e_2 + \sqrt{-1}e_3 \wedge e_4),$$

$$\Theta_2 := \frac{1}{\sqrt{2}}(e_1 \wedge e_3 + \sqrt{-1}e_4 \wedge e_2),$$

$$\Theta_3 := \frac{1}{\sqrt{2}}(\sqrt{-1}e_1 \wedge e_4 + e_2 \wedge e_3).$$

The complexification  $\bigwedge^2 F^*TN \otimes \mathbf{C}$  of the two-fold exterior power of the pull-back bundle  $F^*TN$  on  $M$  by  $F$  is decomposed into two subbundles  $\bigwedge_{\pm}^2 F^*TN$  of complex rank 3, and

$\Theta_1, \Theta_2, \Theta_3$  form a local frame field of  $\bigwedge_{+}^2 F^*TN$ .

$\nabla$ : the Levi-Civita connection of  $(N, h)$ .

Then  $\nabla$  induces a connection  $\hat{\nabla}$  of  $\bigwedge^2 F^*TN \otimes \mathbf{C}$  naturally.

In addition,  $\hat{\nabla}$  gives the connections of  $\bigwedge_{\pm}^2 F^*TN$  ([4]).

Then we obtain

$$\begin{aligned}\hat{\nabla}_{T_1}(\Theta_1 \ \Theta_2 \ \Theta_3) &= (\Theta_1 \ \Theta_2 \ \Theta_3) \begin{bmatrix} 0 & -W & \sqrt{-1}Y \\ W & 0 & \psi \\ -\sqrt{-1}Y & -\psi & 0 \end{bmatrix}, \\ \hat{\nabla}_{T_2}(\Theta_1 \ \Theta_2 \ \Theta_3) &= (\Theta_1 \ \Theta_2 \ \Theta_3) \begin{bmatrix} 0 & \sqrt{-1}Z & X \\ -\sqrt{-1}Z & 0 & -\phi \\ -X & \phi & 0 \end{bmatrix},\end{aligned}$$

where

$$\begin{aligned} W &:= \alpha_2 - \sqrt{-1}\beta_1, & X &:= \alpha_2 + \sqrt{-1}\beta_3, \\ Y &:= \beta_2 - \sqrt{-1}\alpha_1, & Z &:= \beta_2 + \sqrt{-1}\alpha_3 \end{aligned}$$

and

$$\phi := \lambda_u - \sqrt{-1}\mu_2, \quad \psi := \lambda_v + \sqrt{-1}\mu_1.$$

By these relations, we obtain

$$\begin{aligned} WX - YZ &= L_0 e^{2\lambda} + \phi_u + \psi_v \quad (\text{the equations of Gauss, Ricci}), \\ Y_v + \sqrt{-1}X_u &= -\sqrt{-1}W\phi - Z\psi, \\ W_v + \sqrt{-1}Z_u &= -\sqrt{-1}Y\phi - X\psi \end{aligned} \quad (\text{the equations of Codazzi})$$

([4, 5]).

Referring to Sections 2, 3, we can obtain analogous results for space-like surfaces in  $N$ .

## Remark

For a local complex coordinate  $w = u + \sqrt{-1}v$ , we have

$$\hat{\nabla}_{\partial/\partial\bar{w}}\Theta_1 = \frac{1}{2}((W + Z)\Theta_2 - \sqrt{-1}(X + Y)\Theta_3).$$

Whether  $\hat{\nabla}_{\partial/\partial\bar{w}}\Theta_1$  vanishes or not is determined by  $F$ , and  $\hat{\nabla}_{\partial/\partial\bar{w}}\Theta_1 = 0$  is equivalent to

$$W + Z = 0, \quad X + Y = 0.$$

In particular, if  $\hat{\nabla}_{\partial/\partial\bar{w}}\Theta_1 = 0$ , then  $WX - YZ = 0$ .

Therefore  $\hat{\nabla}_{\partial/\partial\bar{w}}\Theta_1 = 0$  gives a special class of space-like surfaces such that the complex twistor lifts are degenerate, and we can obtain a characterization of surfaces with  $\hat{\nabla}_{\partial/\partial\bar{w}}\Theta_1 = 0$  ([4]).

$M$ : a Lorentz surface,

$F : M \longrightarrow N$ : a time-like and conformal immersion,

$(u, v)$ : local coordinates of  $M$  compatible with the paracomplex structure of  $M$ .

The induced metric  $g$  of  $M$  by  $F$  is represented as  $g = e^{2\lambda}(du^2 - dv^2)$ .

$$T_1 := dF\left(\frac{\partial}{\partial u}\right), \quad T_2 := dF\left(\frac{\partial}{\partial v}\right),$$

$N_1, N_2$ : normal vector fields of  $F$  satisfying

$$h(N_1, N_1) = h(N_2, N_2) = e^{2\lambda}, \quad h(N_1, N_2) = 0.$$

Suppose that  $N$  is oriented and that  $(T_1, T_2, N_1, N_2)$  gives the orientation.

We set  $e_1 := \frac{1}{e^\lambda} N_1$ ,  $e_2 := \frac{1}{e^\lambda} N_2$ ,  $e_3 := \frac{1}{e^\lambda} T_1$ ,  $e_4 := \frac{1}{e^\lambda} T_2$ .

Then

$$\overline{\Theta}_1 := \frac{1}{\sqrt{2}}(e_1 \wedge e_2 - \sqrt{-1}e_3 \wedge e_4),$$

$$\overline{\Theta}_2 := \frac{1}{\sqrt{2}}(e_1 \wedge e_3 - \sqrt{-1}e_4 \wedge e_2),$$

$$\overline{\Theta}_3 := \frac{1}{\sqrt{2}}(-\sqrt{-1}e_1 \wedge e_4 + e_2 \wedge e_3).$$

form a local frame field of  $\bigwedge_{-}^2 F^*TN$ .

We obtain

$$\begin{aligned}\hat{\nabla}_{T_1}(\overline{\Theta_1} \ \overline{\Theta_2} \ \overline{\Theta_3}) &= (\overline{\Theta_1} \ \overline{\Theta_2} \ \overline{\Theta_3}) \begin{bmatrix} 0 & -\sqrt{-1}W & -\sqrt{-1}Y \\ \sqrt{-1}W & 0 & -\sqrt{-1}\psi \\ \sqrt{-1}Y & \sqrt{-1}\psi & 0 \end{bmatrix}, \\ \hat{\nabla}_{T_2}(\overline{\Theta_1} \ \overline{\Theta_2} \ \overline{\Theta_3}) &= (\overline{\Theta_1} \ \overline{\Theta_2} \ \overline{\Theta_3}) \begin{bmatrix} 0 & Z & -X \\ -Z & 0 & -\sqrt{-1}\phi \\ X & \sqrt{-1}\phi & 0 \end{bmatrix},\end{aligned}$$

where

$$\begin{aligned}W &:= \alpha_2 + \sqrt{-1}\beta_1, & X &:= \alpha_2 + \sqrt{-1}\beta_3, \\ Y &:= \beta_2 - \sqrt{-1}\alpha_1, & Z &:= \beta_2 - \sqrt{-1}\alpha_3\end{aligned}$$

and

$$\phi := \lambda_u - \sqrt{-1}\mu_2, \quad \psi := \lambda_v - \sqrt{-1}\mu_1.$$



By these relations, we obtain

$$WX + YZ + L_0 e^{2\lambda} + \phi_u - \psi_v = 0 \quad (\text{the equations of Gauss, Ricci}),$$

$$Y_v + \sqrt{-1}X_u = -\sqrt{-1}W\phi - Z\psi, \quad (\text{the equations of Codazzi})$$

$$W_v - \sqrt{-1}Z_u = \sqrt{-1}Y\phi - X\psi$$

([4, 5]).

Referring to Sections 2, 3, we can obtain analogous results for time-like surfaces in  $N$ .

**THANK YOU VERY MUCH  
FOR YOUR ATTENTION!**